

iiNet Investigation Report

Findings

The ACMA finds that iiNet Limited (ACN 068 628 937) (iiNet) contravened paragraph 7(1)(a) of the *Telecommunications (Consumer Complaints Handling) Industry Standard 2018* (the **Complaints Standard**) on 30 July 2018 by failing to establish a complaints handling process that includes the minimum requirements for consumer complaints handling.

The ACMA also finds that iiNet contravened subsection 128(1) of the *Telecommunications Act 1997* (the **Act**) by failing to comply with an industry standard determined under subsection 125AA(1) of the Act on 30 July 2018.

Background

In a letter dated 3 September 2018, the ACMA advised iiNet that it was investigating iiNet's compliance with sections 8, 9 and 10 of the Complaints Standard (the **minimum requirements for consumer complaints handling**).

That same letter advised:

- that ACMA staff had assessed the complaints handling process that was available on iiNet's website on 30 July 2018 (the **CHP**); and
- the ACMA's preliminary findings in relation to iiNet's compliance with the minimum requirements for consumer complaints handling.

iiNet provided a submission on 12 September 2018 in response to those preliminary views of ACMA staff (**response**).

This response did not dispute the preliminary findings but did provide views about the requirement in paragraph 10(g) to include a process for classifying complaints into different categories, which clearly describes each category of complaint. An updated CHP was also included in the response. That revised CHP is not the subject of these findings.

Reasons

The table below sets out the ACMA's final findings and the reasons for those findings. In making its final findings, the ACMA has considered the CHP and the response.

Compliance with the minimum requirements for consumer complaints handling

Provision	Requirement	ACMA finding and reasons
7(1)(a)	A carriage service provider, that offers to supply telecommunications products to consumers under a consumer contract must establish a complaints handling process that includes the minimum requirements for consumer complaints handling.	iiNet has contravened paragraph 7(1)(a) by failing to include the minimum requirements for consumer complaints handling in the CHP as set out below.
8(1)	A complaints handling process must:	
8(1)(b)	be made available to the public on the carriage service provider's website in a concise form that sets out the minimum requirements for	The CHP did not refer to all of the matters set out in paragraphs (d) to (m) and section 9 and 10, namely: <ul style="list-style-type: none">- paragraph 8(1)(f);

	complaints handling referred to in paragraphs (d) to (m), and sections 9 and 10;	<ul style="list-style-type: none"> - paragraph 8(1)(i); - paragraph 8(1)(k); - section 9; - paragraph 10(f); and - paragraph 10(g). <p>Therefore, the ACMA finds that iiNet did not include the minimum requirements in paragraph 8(1)(b) of the Complaints Standard in the CHP.</p>
8(1)(f)	state that consumers have a right to make a complaint;	<p>The CHP did not state that consumers have a right to make a complaint.</p> <p>Therefore, the ACMA finds that iiNet did not include the minimum requirements in paragraph 8(1)(f) of the Complaints Standard in the CHP.</p>
8(1)(i)	permit consumers to make complaints in store, where the carriage service provider offers services at a physical location;	<p>Whilst iiNet have stated that there is a flagship store in Perth, Western Australia, it was not clear as to whether complaints could be made there.</p> <p>Therefore, the ACMA finds that iiNet did not include the minimum requirements in paragraph 8(1)(i) of the Complaints Standard in the CHP.</p>
8(1)(k)	require members of its personnel to:	
8(1)(k)(i)	clarify with a consumer if they wish to make a complaint where the consumer has made contact and expressed dissatisfaction through one of the channels referred to in paragraph (h) or paragraph (i), and the member of the personnel is uncertain if the consumer wishes to make a complaint; and	<p>The CHP did not include any information requiring personnel to clarify with a consumer whether they wish to make a complaint.</p>
8(1)(k)(ii)	provide consumers with help to formulate, make and progress a complaint, and set out steps to assist members of its personnel to help consumers with special needs or disabilities, and consumers from non-English backgrounds or those suffering financial hardship;	<p>While the CHP provided information about requiring personnel to provide assistance to consumers in formulating, making and progressing a complaint, and provided information to assist customers experiencing financial difficulty and consumers from non-English speaking backgrounds, it did not provide information to assist consumers with a disability or special needs.</p> <p>Therefore, the ACMA finds that iiNet did not include the minimum requirements in paragraph 8(1)(k) of the Complaints Standard in the CHP.</p>
9	A complaints handling process must identify the relevant time periods associated with each step	<p>The CHP did not provide:</p> <ul style="list-style-type: none"> - information regarding the timeframes for advising of frivolous or vexatious

	in the process, including the response times for managing a complaint set out in sections 12, 13, 14, 15, 16 and 17.	<p>complaints as required by section 16; and</p> <ul style="list-style-type: none"> - timeframes for attempting to contact a consumer where the CSP has been unable to contact the consumer to discuss the complaint as required by section 17. <p>Therefore, the ACMA finds that iiNet did not include the minimum requirements in section 9 of the Complaints Standard in the CHP.</p>
10	A complaints handling process must:	
10(f)	provide that a consumer's telecommunications service cannot be cancelled for the sole reason that the consumer was unable to resolve the complaint directly with the carriage service provider and pursued options for external dispute resolution; and	<p>The CHP did not state that a consumer's telecommunications service cannot be cancelled because a consumer was unable to resolve the complaint and pursued external dispute resolution.</p> <p>Therefore, the ACMA finds that iiNet did not include the minimum requirements in paragraph 10(f) of the Complaints Standard in the CHP.</p>
10(g)	include a process for classifying complaints into different categories, which clearly describes each category of complaint.	<p>The CHP did not include a process for classifying complaints into different categories, which clearly describes each category of complaint.</p> <p>Therefore, the ACMA finds that iiNet did not include the minimum requirements in paragraph 10(g) of the Complaints Standard in the CHP.</p>

Compliance with subsection 128(1) of the Act

Provision	Requirement	ACMA finding and reasons
Subsection 128(1)	if an industry standard applies to participants in a particular section of the telecommunications industry and is registered under Part 6 of the Act, each participant in that section of the industry must comply with the standard.	<p>The Complaints Standard:</p> <ul style="list-style-type: none"> - is an industry standard determined under subsection 125AA(1) of the Act and registered under Part 6 of the Act; and - applies to participants in the telecommunications industry including carriage service providers (CSPs). <p>iiNet is a CSP that supplies internet, landline and mobile services to the public. As a participant in the section of the telecommunications industry to which the Complaints Standard applies, iiNet is required to comply with the Complaints Standard under subsection 128(1) of the Act.</p> <p>iiNet's CHP did not include the minimum requirements for consumer complaints handling as described above.</p> <p>Therefore, the ACMA finds that iiNet contravened subsection 128(1) of the Act on [30 July 2018], by failing to comply with paragraph 7(1)(a) of the Complaints Standard.</p>